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# The Role of Protons in the Management of Breast Cancer

PTCOG 54/ San Diego

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# Disclosures

- Travel expenses from IBA and Elekta

# Overview

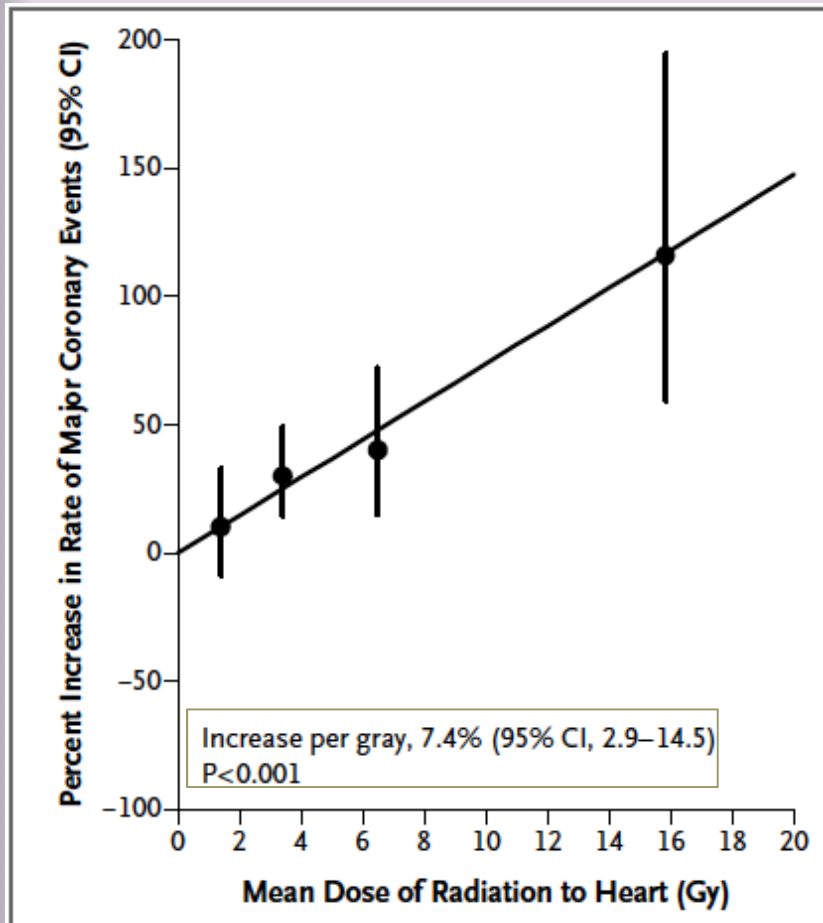
- Protons for Locally Advanced Breast Cancer
  - Rationale
  - Patient Selection
  - Dosimetric Comparisons (3D & IMPT)
  - Early Clinical Experience
- Partial Breast Irradiation
- Future
  - PCORI RCT for LABC

**RATIONALE FOR PROTON PMRT & PATIENT  
SELECTION**

# LABC: Rationale for use

- Protons have been shown to provide substantial normal tissue sparing for several malignancies
- Breast cancer patients are often cured of their disease, but may experience late side effects as a result of radiation therapy and late cardiac toxicity may negate or decrease survival benefit from RT

# Cardiac Toxicity

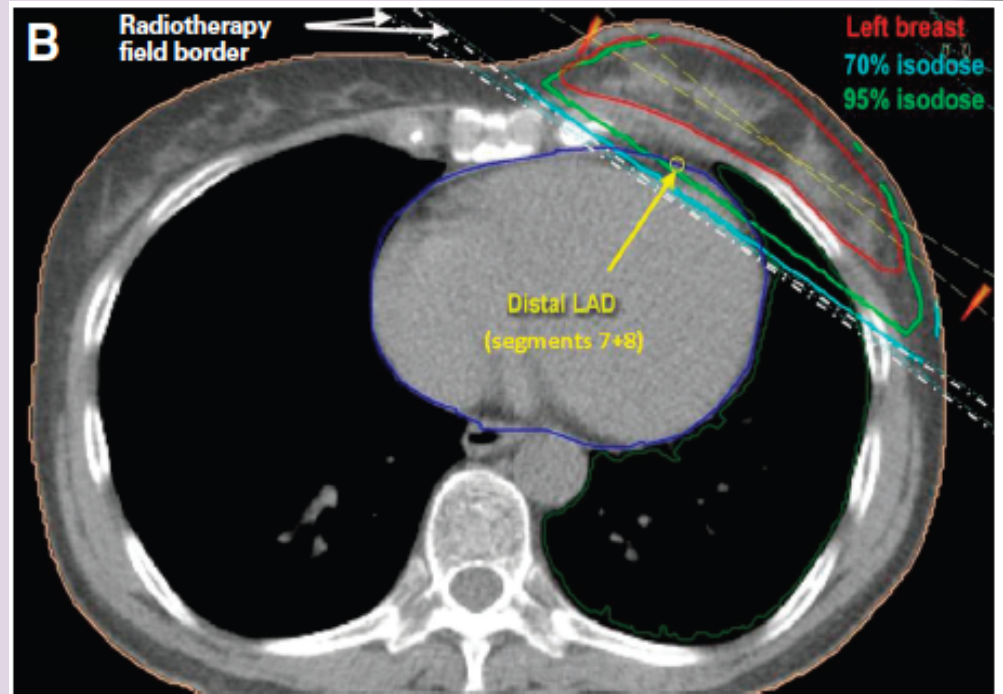
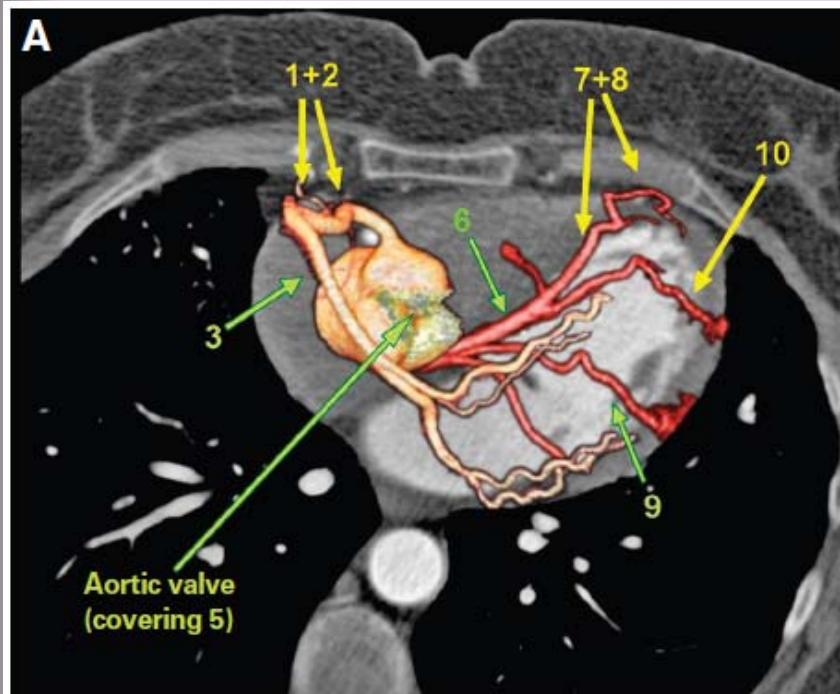


**Table 3.** Percentage Increase in the Rate of Major Coronary Events per Gray, According to Time since Radiotherapy.

Time since Radiotherapy*	No. of Case Patients	No. of Controls	Increase in Rate of Major Coronary Events (95% CI)† % increase/Gy
0 to 4 yr	206	328	16.3 (3.0 to 64.3)
5 to 9 yr	216	296	15.5 (2.5 to 63.3)
10 to 19 yr	323	388	1.2 (-2.2 to 8.5)
≥20 yr	218	193	8.2 (0.4 to 26.6)
0 to ≥20 yr	963	1205	7.4 (2.9 to 14.5)

- Myocardial infarction
- Coronary revascularization tx
- Death from ischemic heart disease

# LAD: Major Vessels



# Protons to Decrease Cardiac Dose

- Mean heart dose with protons for comprehensive coverage (with IMN) < 1 Gy
- Mean heart dose with photons (with IMN) 4-17 Gy
- Extrapolating for Darby, this may translate to a 50% decrease in major cardiac events and an absolute benefit of 1-4%
- EORTC and MA-20 trials complete and indicate a benefit to IMN and regional lymph node RT



# Cardiac Surrogate-Strain Echocardiogram

## Echo/LVEF

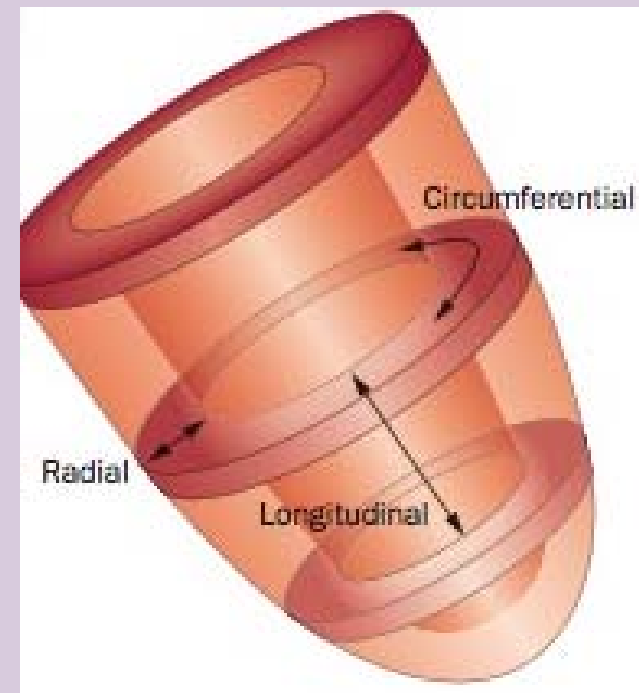
Measures of changes in cavity volume - mainly radial function / mid-myocardium



## Strain

Can better delineate layers that may be affected earlier than others and predicts LV dysfunction

- May indicate myocardial damage or indirect myocardial effects by alteration in perfusion
- Predictive of cardiac morbidity for patients treated with cardiotoxic chemotherapy



*Courtesy of Marielle Crosbie-Scherrer  
Cheung Y, Nat Rev Cardiol 2011  
Stevens C, Prog Bioph Mol Biol 2003  
Erven K, IJROBP 2013*

# Strain Photons v Protons

## Photon

N=30 (20 L & 10 R) (%)	1 <sup>st</sup> Echo (E1)	2 <sup>nd</sup> Echo (E2)	3 <sup>rd</sup> Echo (E3)	P value ANOVA
LVEF	70.8 ± 6.8	66.2 ± 6.6	67.3 ± 5.9	0.037
Global Systolic LS	-19.5 ± 2.1	-17.4 ± 2.3	-17.4 ± 2.3	<0.001

## Proton

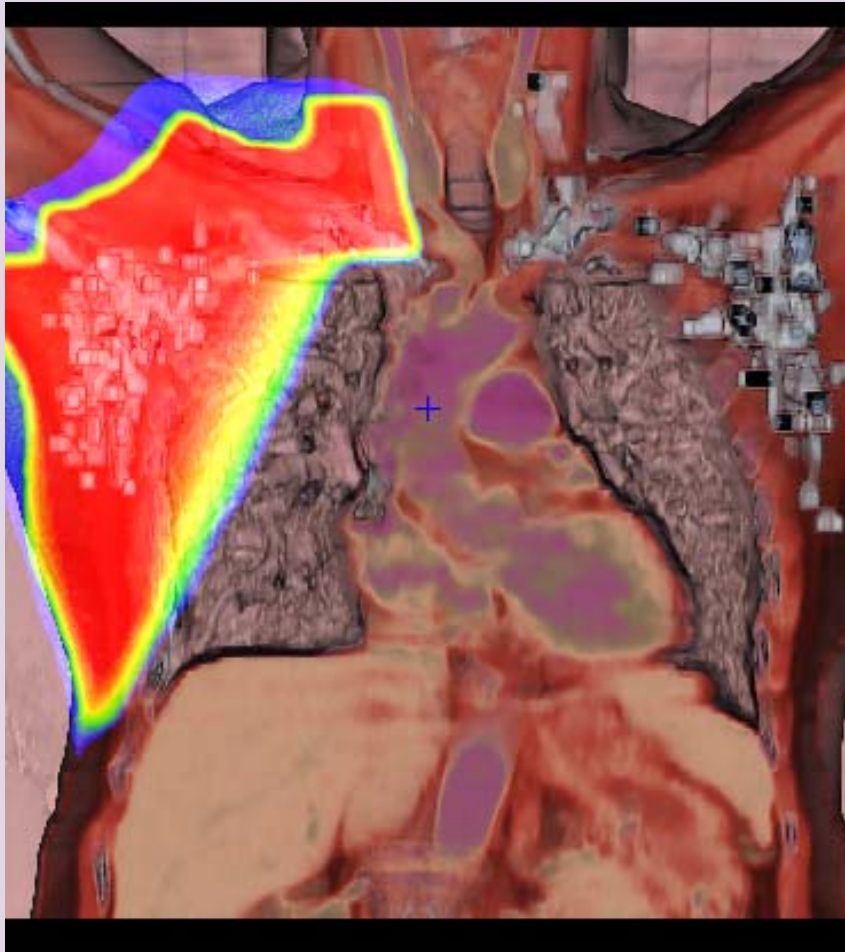
N=30 (%)	1 <sup>st</sup> Echo (E1)	2 <sup>nd</sup> Echo (E2)	3 <sup>rd</sup> Echo (E3)	P value ANOVA
LVEF	62 ± 4	62 ± 5	63 ± 3	0.8447
Global Systolic LS	-19.54 ± 2.72	-19.49 ± 2.76	-19.33 ± 3.12	0.9037

*Erven K, IJROBP 2011,2013 & personal communication  
MacDonald, Tan, Crosbie-Scherrer (manuscript in preparation)*

# Proton Strain and Biomarkers

<b>Parameter</b>	<b>1<sup>st</sup> Echo</b>	<b>2<sup>nd</sup> Echo</b>	<b>3<sup>rd</sup> Echo</b>	<b>P value</b>
<b>N=30</b>	<b>(E1)</b>	<b>(E2)</b>	<b>(E3)</b>	<b>ANOVA</b>
<b>LVEF (%)</b>	62 ± 4	62 ± 5	63 ± 3	0.84
<b>Global Systolic LS (%)</b>	-19.5 ± 2.7	-19.5 ± 2.8	-19.3 ± 3.1	0.90
<b>Septal wall LS (%)</b>	-19.1 ± 3.1	-18.8 ± 3.0	-20.0 ± 3.0	0.23
<b>Lateral wall LS (%)</b>	-20.0 ± 4.6	-20.5 ± 4.4	-20.2 ± 6.3	0.66
<b>Inferior wall LS (%)</b>	-18.6 ± 4.0	-19.5 ± 4.4	-19.7 ± 6.1	0.66
<b>Anterior wall LS (%)</b>	-19.6 ± 4.0	-21.1 ± 4.2	-20.9 ± 5.5	0.28
<b>Posterolateral wall LS (%)</b>	-20.3 ± 5.8	-19.9 ± 5.7	-17.7 ± 5.6	0.09
<b>Anteroseptal wall LS (%)</b>	-19.6 ± 4.5	-18.8 ± 5.7	-19.1 ± 4.1	0.77
<b>Novel Serum Biomarkers</b>				
<b>TNIH (pg/ml)</b>	13.7 ± 18.7	8.7 ± 6.4	6.9 ± 6.9	0.0002
<b>NT-proBNP (pg/ml)</b>	81.9 ± 60.3	102.7 ± 68.9	90.7 ± 63.8	0.067
<b>Myeloperoxidase (MPO) (pmol/L)</b>	444.3 ± 185.8	615.2 ± 487.5	656.1 ± 642.9	0.067

# Protons to Improve Coverage of Lymph Nodes



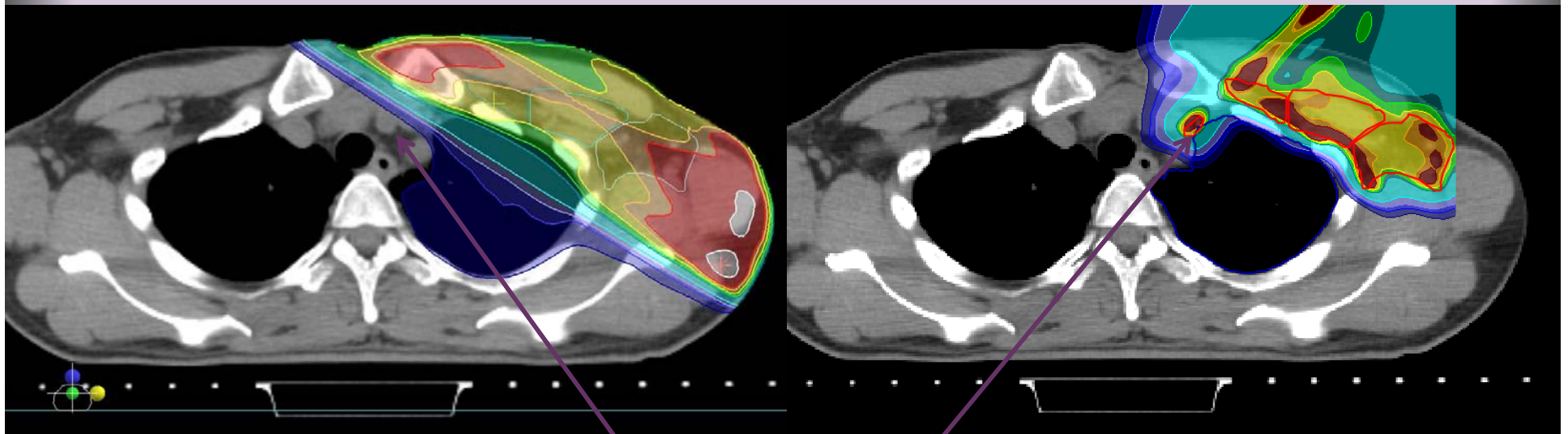
*For a prescription of 50 Gy Photons, LN-MRI identified lymph nodes*

- 82% of malignant and 79% of benign LN-MRI LN covered by 45 Gy
- Only 60% covered by 50 Gy line (64% of malignant and 59% of benign)
- 8% of LN received less than 50% of prescription dose

# Protons to Improve Target Coverage

- With use of standard fields for Photons
  - *For prescription of 50 Gy RTOG Volume Coverage*
    - 45 Gy covered
      - 74% of chest wall
      - 84% of Level 1 LN
      - 88% of Level 2 LN
      - 93% of Level 3 LN
      - 84% of SCV LN
      - 80% of IMN

# Protons to Improve Coverage while decreasing Pneumonitis & Lymphedema?



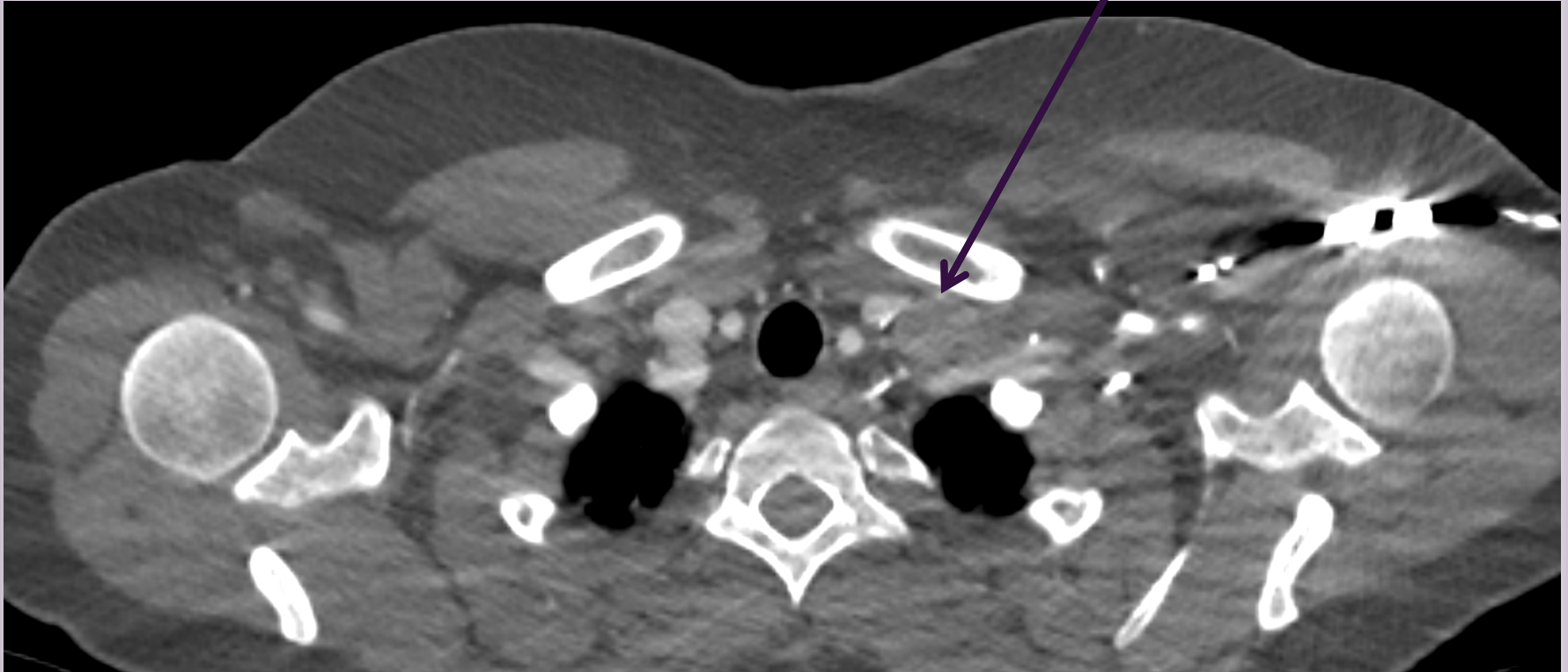
Photons

Protons

I think we rarely cover this area with photons

We do see disease here

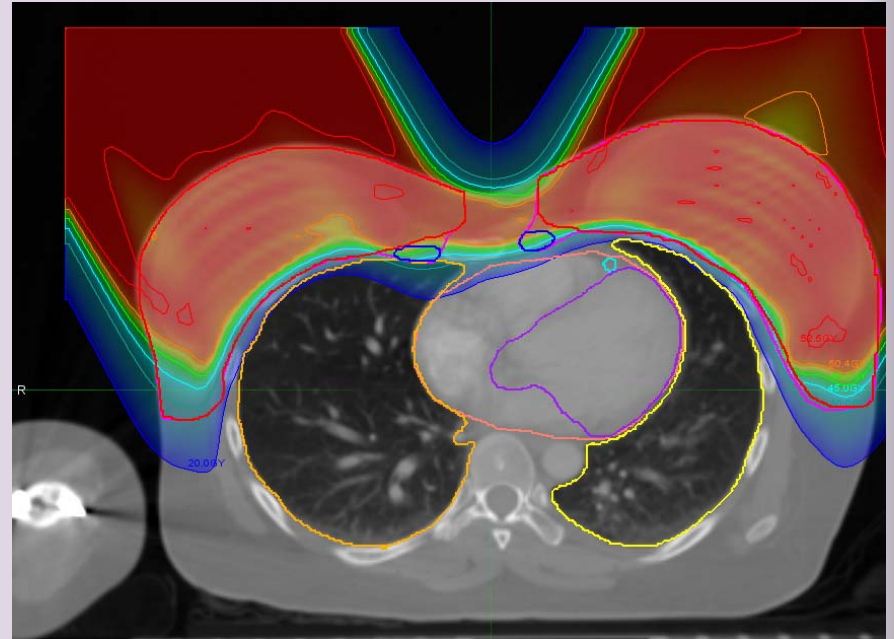
Low/deep SCV LN  
(beneath clavicle)



Pre-chemotherapy Chest CT

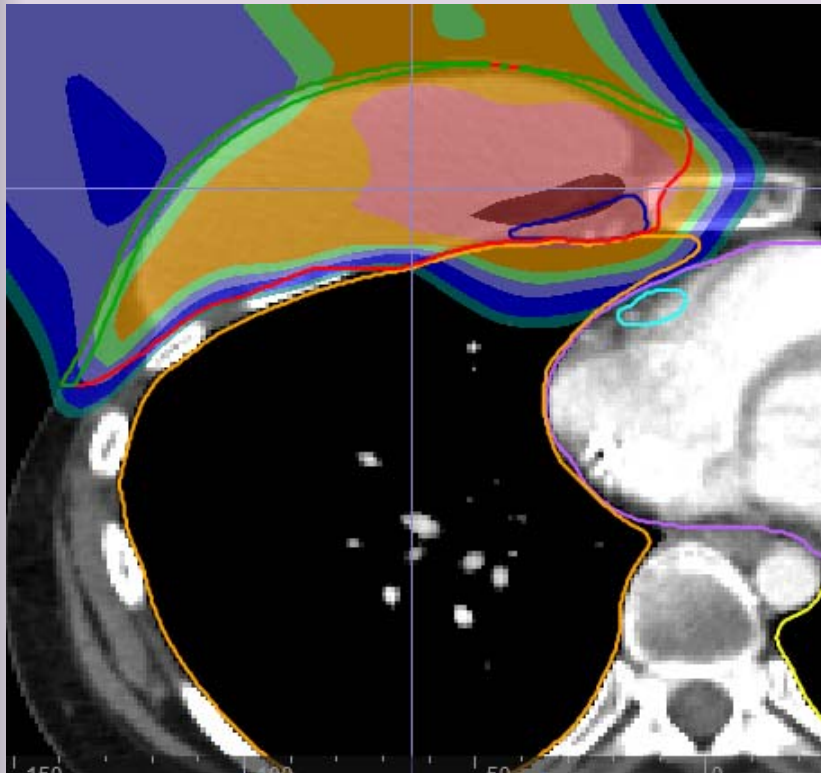
# Patient Selection

- Advanced disease
- IMN involvement
- Cardiotoxic chemo
- Young age
- Permanent implants
- Poor cardiac anatomy
- Left LIQ tumors
- Pre-existing cardiac disease
- Decreased arm mobility





# R sided PMRT with IMPT



- Generally, we assume a greater benefit for patients with L sided breast cancer
- R sided patients with IMN involvement can have very high mean heart doses and RCA coronary disease

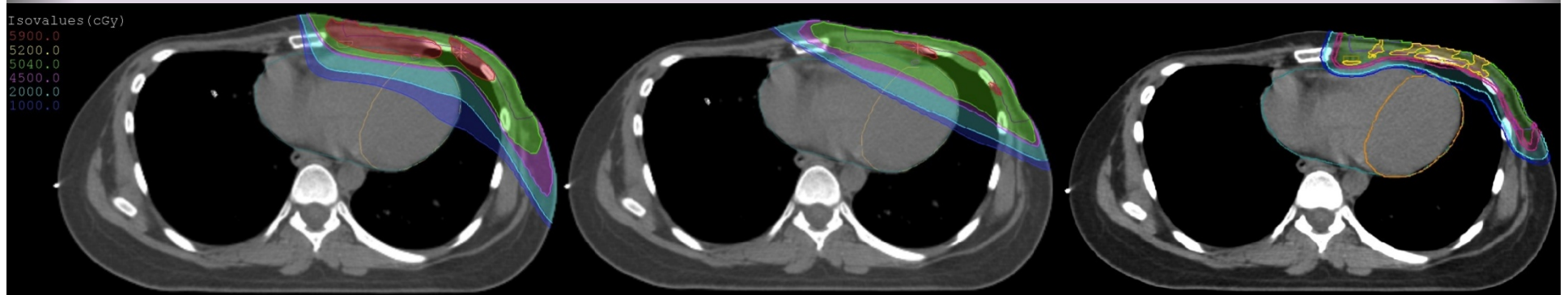
# Technical Considerations

- Intact breast more prone to set up uncertainties and swelling/changes in tissue during radiation
- Skin dose is higher with 3D protons
  - Skin dose is intentionally increased to the chest wall when using photons (use of bolus) but skin dose for intact breast is less

*For these reasons we started with PMRT, but the use of scanning techniques to minimize skin dose and imaging to ensure set-up accuracy should allow for this treatment*

# **COMPARATIVE DOSIMETRY STUDIES**

# 3D conformal Protons



Photons/Electrons

Photons

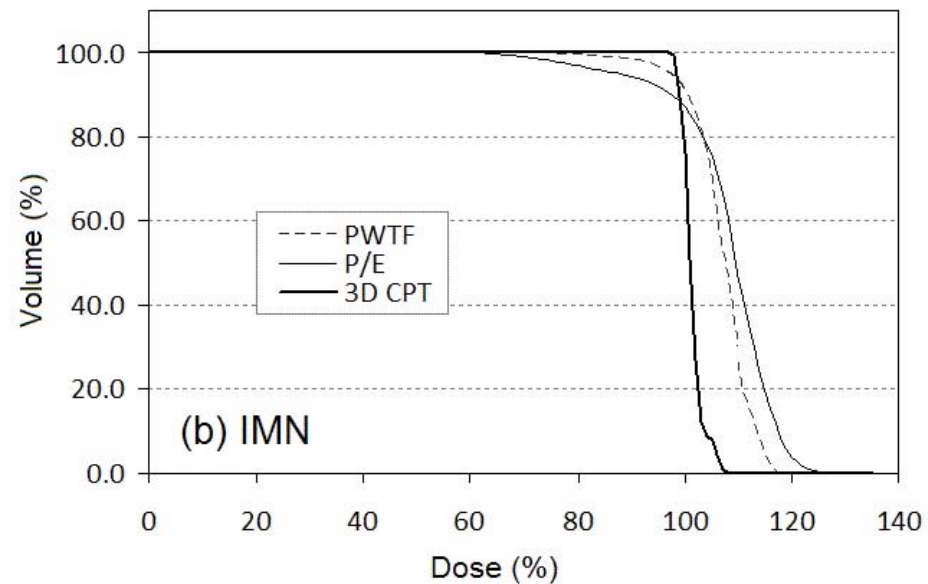
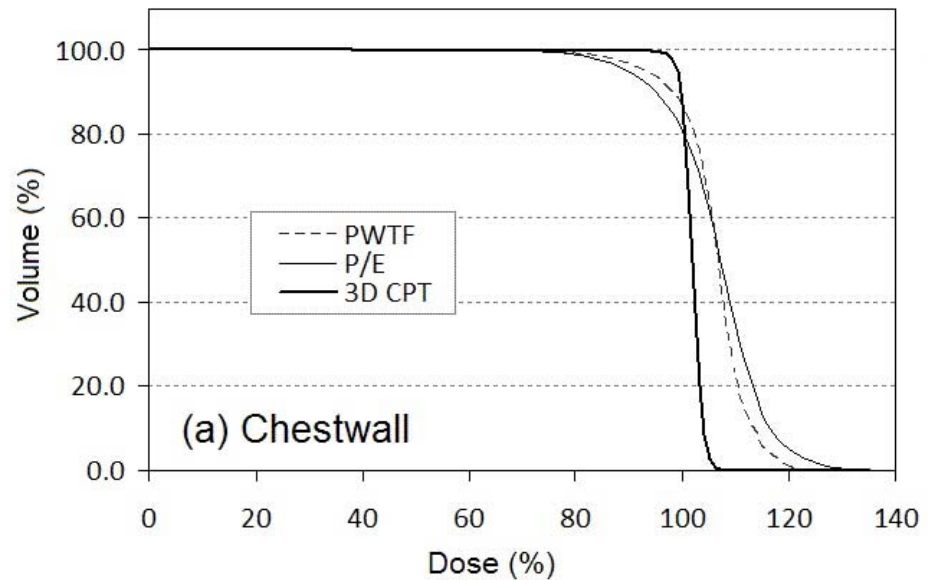
Protons

# Chest Wall and IMN

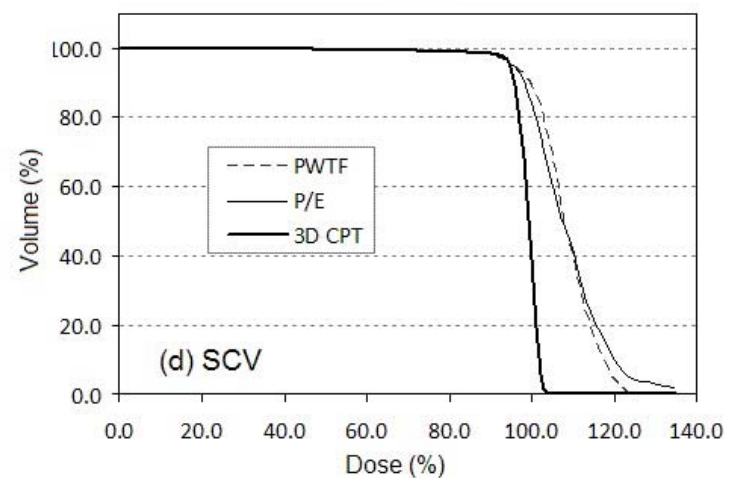
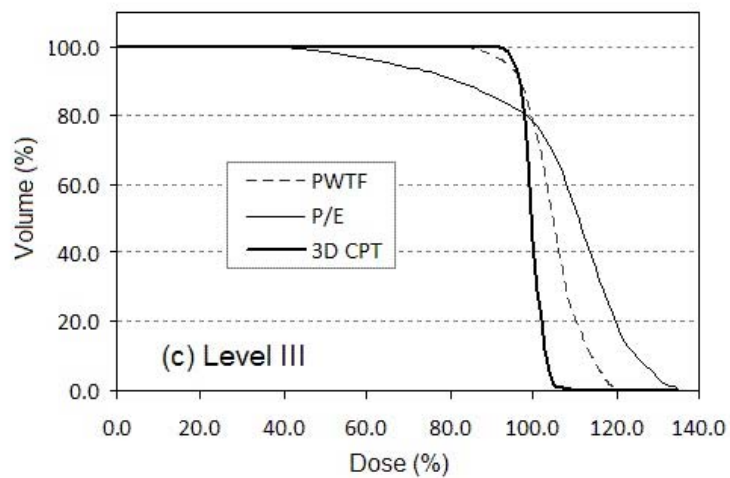
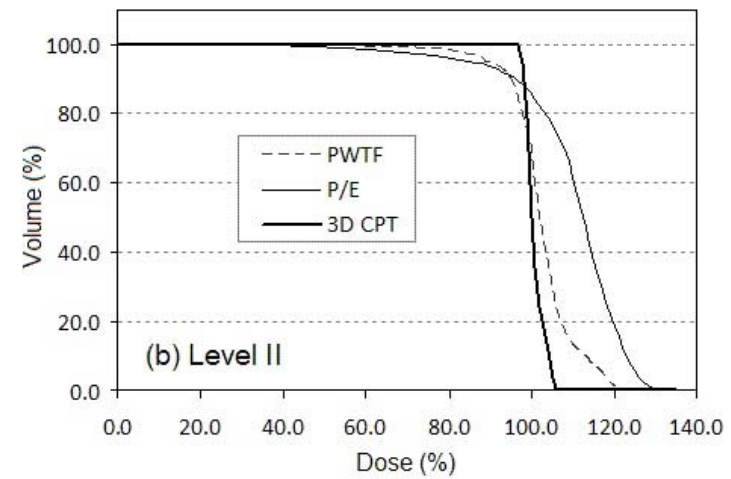
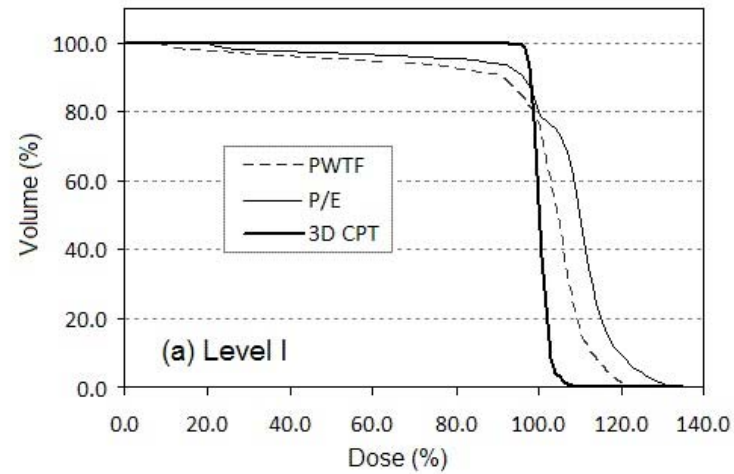
More homogeneous coverage with protons

Less “Hot” and “Cold” spots

Improved coverage of target volumes with protons

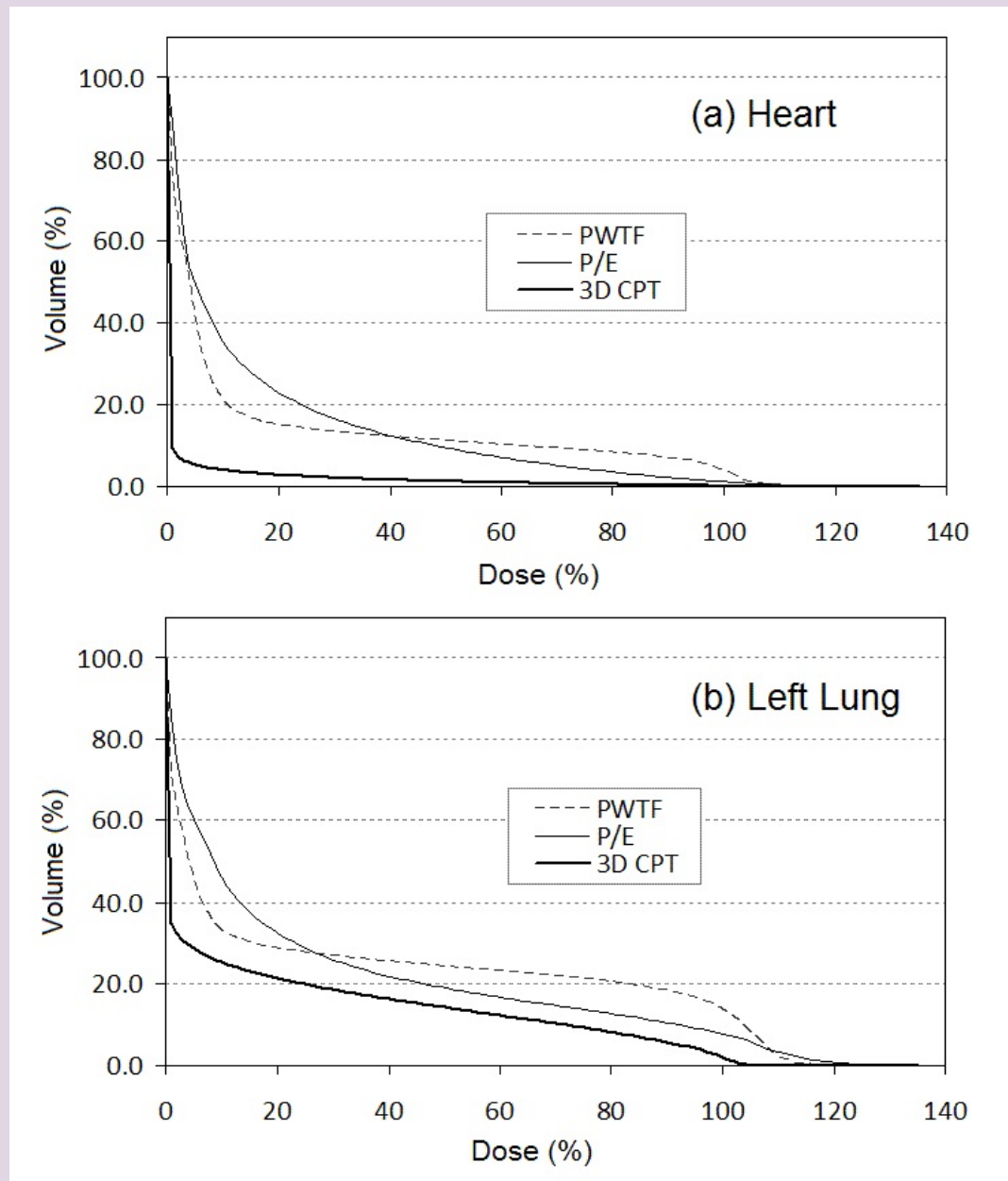


# SCV and Axilla



# Heart and Lung

Decreased dose to  
Heart and lung



# Conclusions

- Improved target volume coverage with better avoidance of the heart and lung can be achieved for patients with breast cancer requiring PMRT
- Similar results for implants published

Jimenez RB et al, *Radiother Oncol* , 2013



**EARLY CLINICAL EXPERIENCE**

# PMRT Proton MGH Trial

## Early Results

- Phase I/II pilot trial to assess feasibility of proton delivery following mastectomy
- Endpoints
  - Acute toxicity; skin toxicity and pneumonitis
  - Secondary: EFS, long-term cosmesis and other toxicity
- Eligibility
  - Requirement of PMRT to chest wall +/- LN
  - No metastatic disease
  - Unfavorable cardiac anatomy (estimated heart V20 >5%) and/or optimal plan would require manipulation or removal of permanent implant (s)

# Methods

- All patients underwent CT simulation with IVC
- Contours according to RTOG guidelines with exception of exclusion of the ribs from the chest wall, left ventricle and LAD contoured
- Dose to chest wall 50.4 Gy(RBE) and LN 45-50.4 Gy(RBE)

# Methods

- Patients evaluated weekly and at 4 and 8 weeks following RT
- Skin graded by CTCAE version 4 and photographs obtained if patient signed optional consent for photography
- Strain echocardiograms and ultra-sensitive troponin obtained prior to RT and at 4 and 8 weeks following RT

Table 1 Patient Characteristics

	n = 12
Age, median (range) years	42 (31 - 68)
Left-sided cancer	11
T1	2
T2	7
T3	3
N+	11
Lymphovascular Invasion	5
Luminal A/B	6
Triple Negative	3
HER2/neu +	3
Immediate Reconstruction	5
Bilateral	4
Unilateral	1
Delayed Reconstruction	1
Neoadjuvant chemotherapy	5
Adjuvant chemotherapy	7
Hormonal therapy	10
IMN Involvement	3
Duration of RT, median (range) days	42 (37 - 45)

# Acute Toxicity

Table 2 Acute toxicities

	<u>Maximum during RT</u>	<u>4 weeks post-RT</u>	<u>8 weeks post-RT</u>
Skin			
Hyperpigmentation	0	2	6
Grade 1	3	8	3
Grade 2	9	0	0
Grade 3	0	0	0

- No cases of Radiation Pneumonitis with median follow up of 6 months (range, 3.5-11.2 mo)

# To Date

- 50 patients enrolled on trial
- No grade 4 toxicity/ Skin grade 2
- 1 pneumonitis
- 1 implant loss
- 2 rib fractures (1 spontaneous and 1 after fall and with diagnosis of osteopenia)
- We have seen implant contracture
- We have seen esophagitis
- 3 patients with telangectasia
- 30 pts strain data & us troponin no abnormality

# Bilateral Nipple Sparing Mastectomy with Implants



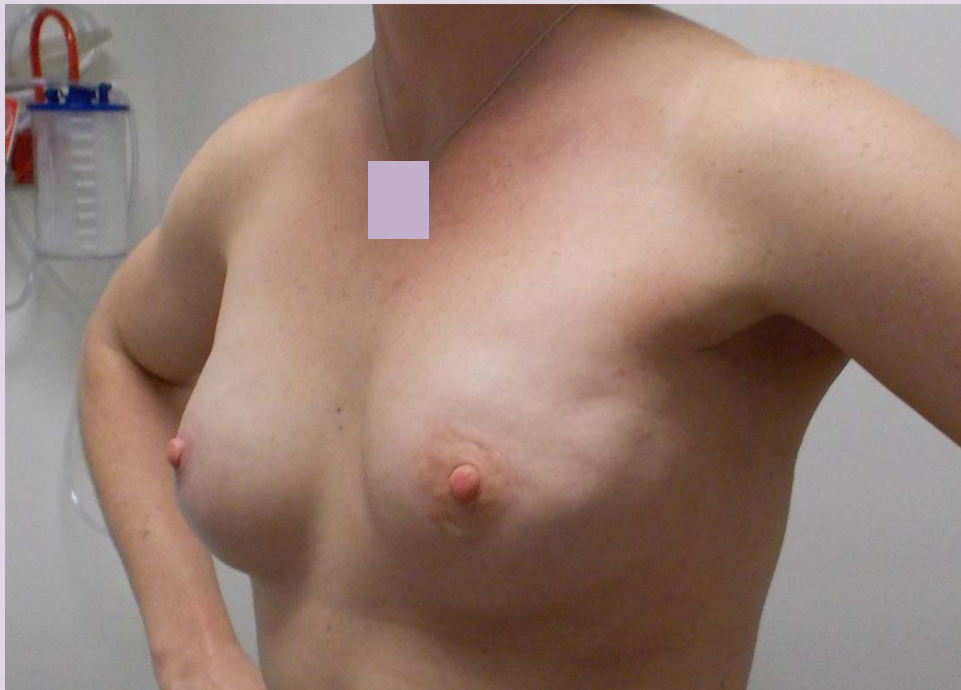
End of RT

4 weeks after RT

8 weeks after RT

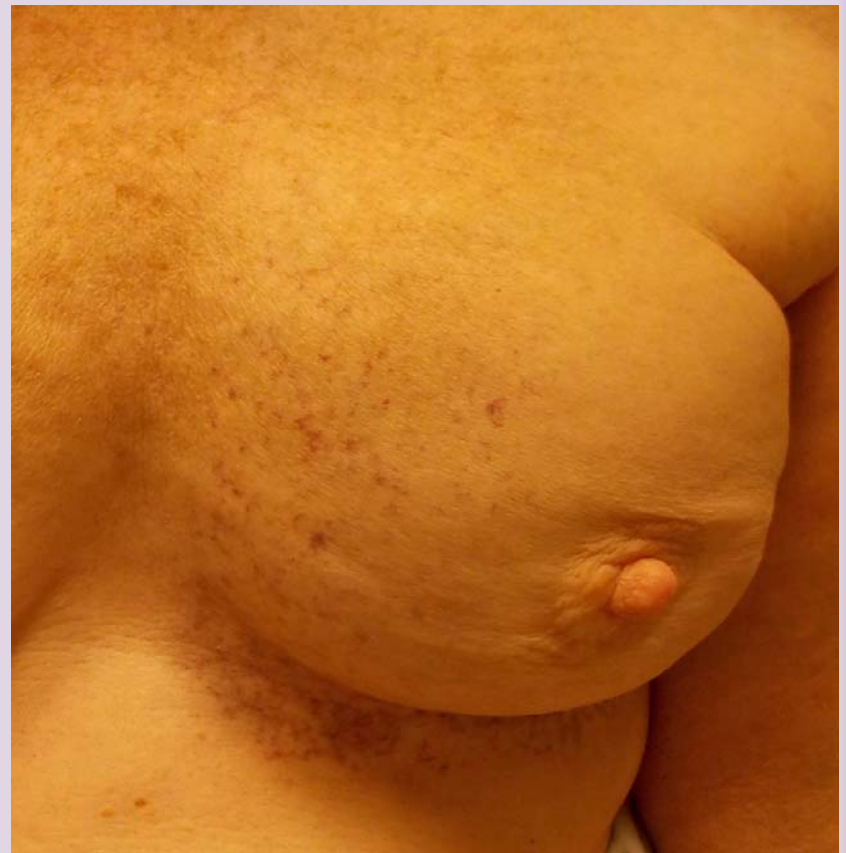
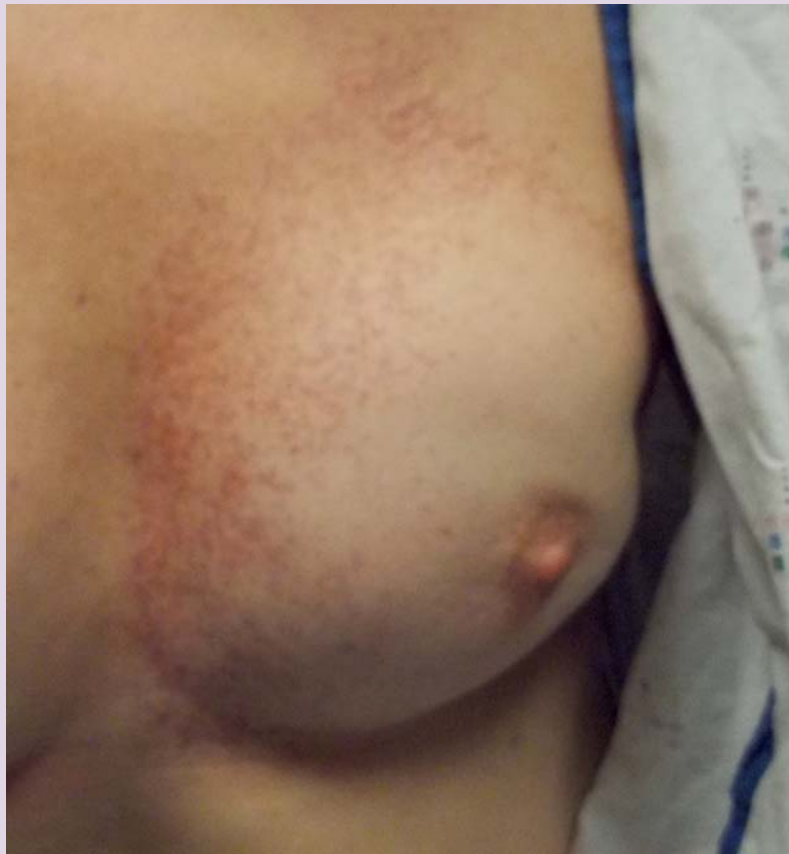


# 1 year post protons



Still with excellent cosmesis 3 years post-protons

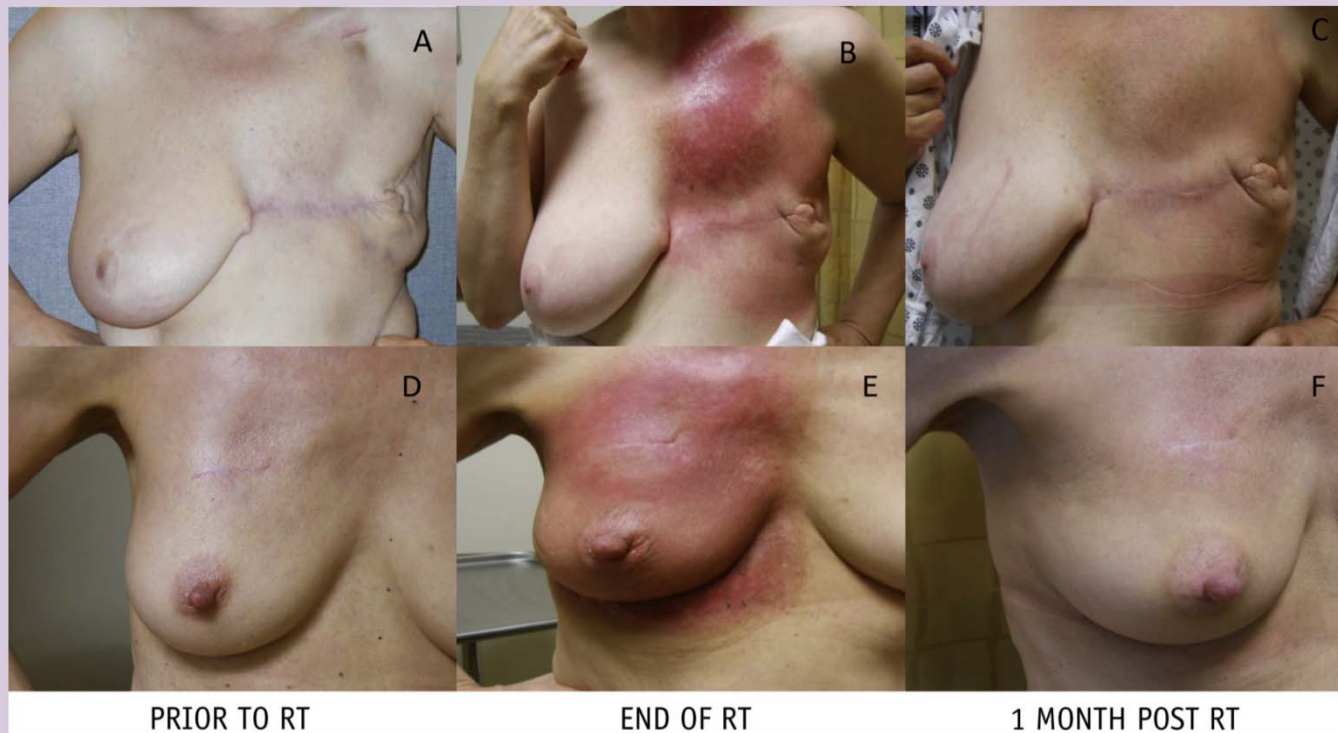
# Telangectasia 2 ½ years post



# Procure Experience

- 30 patients (PMRT and BCT)
- 71.4% grade 2 skin
- 28.6% grade 2 esophagitis
- Grade 3
- 1 implant loss

# Procure Experience



# Conclusions

- Proton radiation is feasible with acceptable acute toxicity for select patients when conventional techniques are not optimal
- Additional follow up is necessary to evaluate long-term outcomes

*CLINICAL EXPERIENCE FOR APBI*

# Proton Therapy for PBI; MGH

- In the setting of a Phase I/II clinical trial, 19 patients were treated with proton 3D-PBI & 79 with photon/electron APBI
- Dose was 32 Gy(RBE) at 4 Gy(RBE) per fraction delivered BID over 4 days
- One to three fields were used to provide PTV coverage & one field was treated per fraction

*\*Trials of APBI & protons combine 2 research questions (modality and fractionation)*

**Kozak et al, IJROBP 2006  
Galland-Girodet, IJROBP 2014**

# Clinical Outcomes

- Median f/u 82.5 months
- No significant difference in LRC
- 7 Year physician rated outcomes
  - Good-excellent cosmesis
    - 62% for protons v 94% for photons
  - Telangiectasia
    - 69% for protons v 16% for photons
  - Pigmentation changes
    - 54% for protons v 22% for photons



# Clinical Outcomes

- No difference in breast pain, breast edema, fat necrosis, skin desquamation, rib pain or rib fracture
- No difference in patient- reported cosmetic outcome or patient satisfaction

# Conclusions

- Local control is similar
- Cosmesis as reported by physicians inferior
  - Recommend use of more than one field per treatment plan and all fields delivered per treatment
  - IMPT/scanning will likely improve skin sparing

# Non-Target Tissues

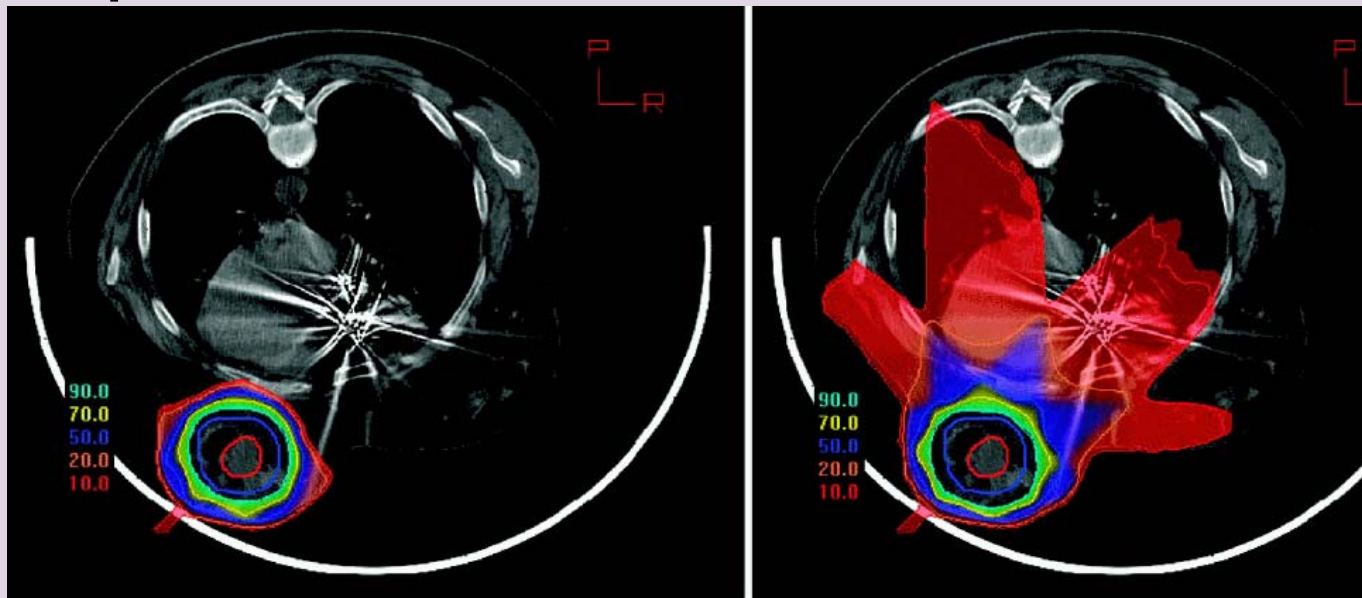
- The non-target breast tissue volume receiving 50% of the prescribed dose was reduced by 36% with proton therapy as compared to mixed beam therapy.
- Small but significant reductions in dose to heart and lung

# Conclusions

- Clinically feasible
- Small but significant sparing of heart and lung with the use of protons
- Substantial sparing of non-target breast tissue
  - External beam option for PBI that increases sparing of non-target breast tissue

# Protons PBI; Loma Linda

- Phase I/II clinical trial 100 patients, all protons
- Dose 40 Gy(RBE) at 4 Gy(RBE)/fx over 10 treatment days, 2 to 4 fields treated daily, composite skin dose < 90%



# Results Proton PBI Loma Linda

- Median f/u 60 months
- 5 year LRC 97%
- Patients and physician reported cosmesis good to excellent in 90% patients
- Only 7 patients with telangiectasia (grade I)

# Cost Comparison

- MGH reported cost analysis for proton PBI
  - 3D-CPBI proton \$13, 200
  - 3D-CPBI photon \$ 5, 300
  - Whole breast RT \$ 10, 900
- Although protons more expensive WB & photon PBI, less expensive than PBI brachytherapy
  - Whole breast RT \$10, 600
  - Mammosite \$ 18, 300
  - Interstitial \$ 17, 300

# Overall Conclusions APBI

- Still awaiting results of RTC (NSABP B-39/RTOG 0413) to establish APBI as standard
- Delivery with protons requires careful attention to skin dose
  - Perhaps 90% established by Loma Linda is a good constraint
- Immobilization for intact breast and set up verification of paramount importance

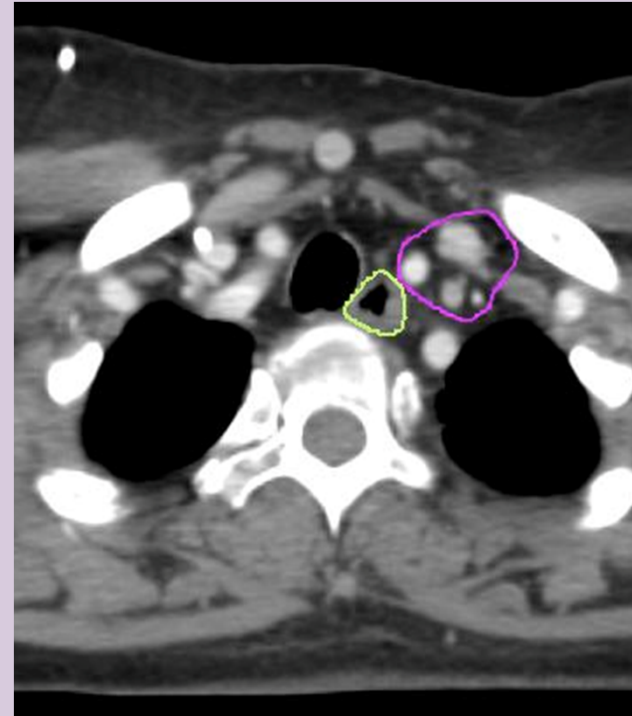
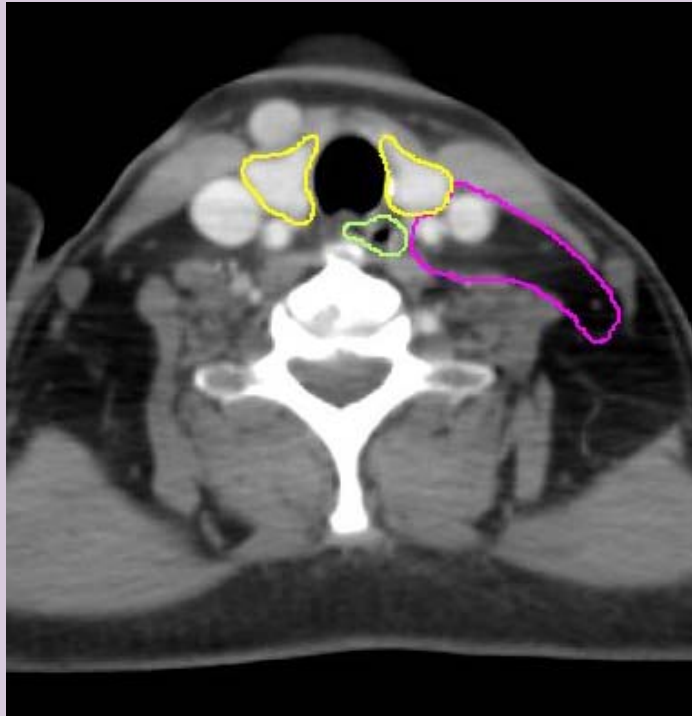


# Overall Conclusions APBI

- Proton APBI is more expensive than photon APBI but less expensive than brachytherapy
- Benefit is uninvolved breast tissue sparing & small but significant reduction cardiac and pulmonary tissues

*Things we have learned*

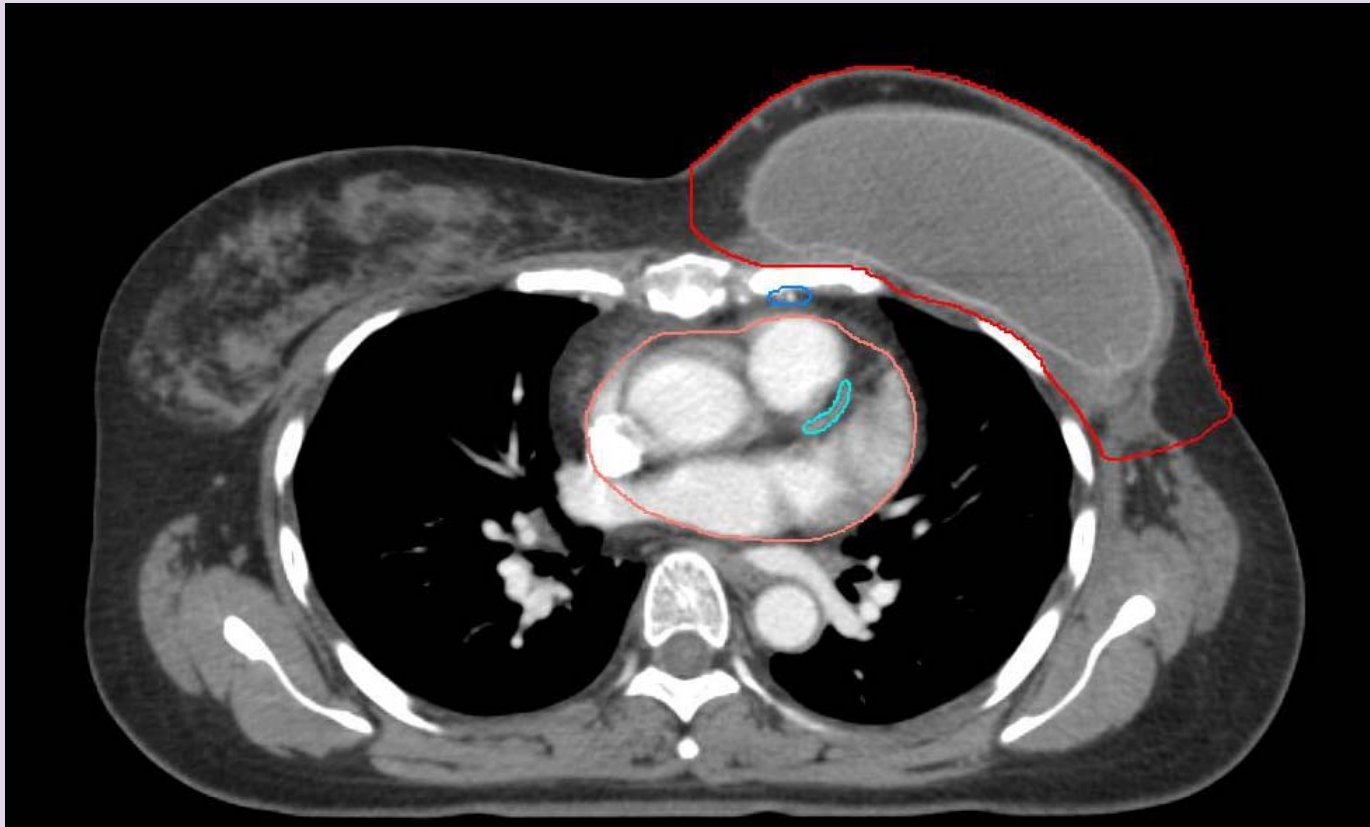
# Esophagus & Thyroid Gland



SCV volume is in very close proximity to the esophagus and thyroid

Limit maximum esophageal dose to 40 Gy(RBE); No limit thyroid, but obtain pre-treatment TSH

## IV Contrast helpful for Vessels/Left Ventricle



Limit maximum LAD dose to 3 Gy(RBE); Left Ventricle to 5 Gy(RBE)  
Mean heart dose < 0.5-1 Gy(RBE)

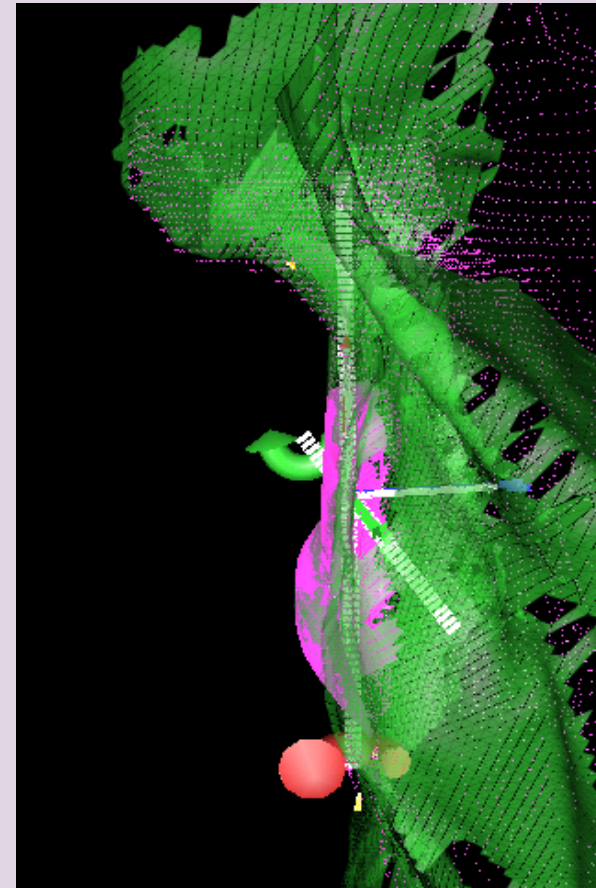
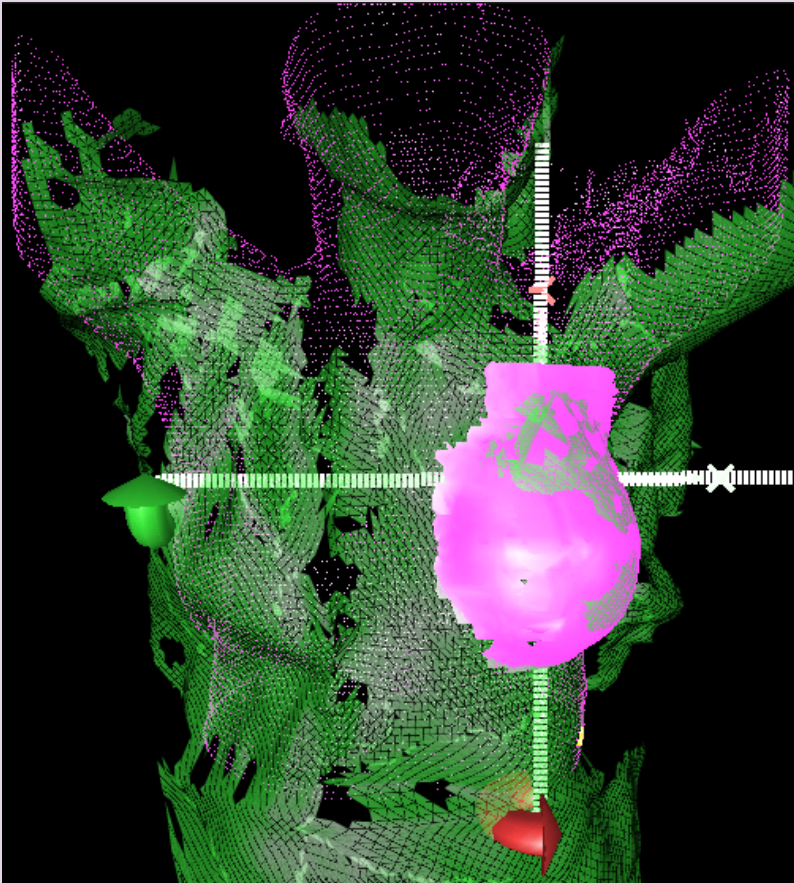
*Feng, et al Int J Radiat Oncol Biol Phys. 2011*

# What you contour is what you treat

To treat skin in this area, you must connect SCV to IMN



# Patient Set up/ Vision RT



Courtesy of Estelle Batin

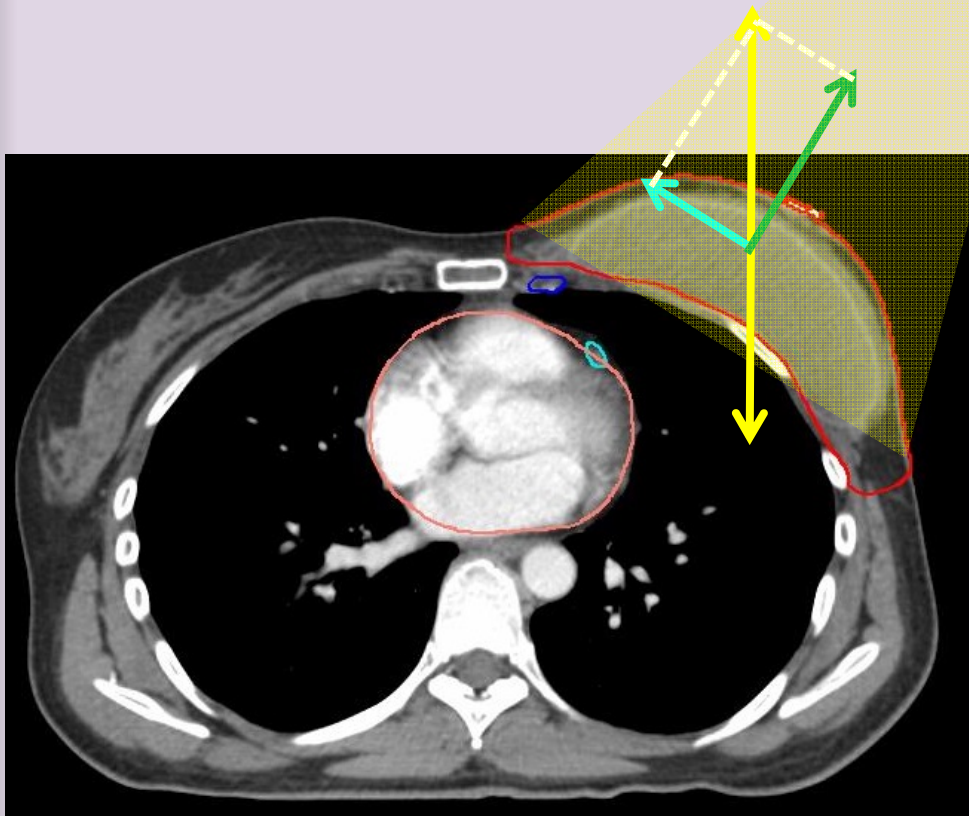
# Arm posts / Chin strap



Courtesy of Estelle Batin

# Target Motion Due to Respiration

## *Minimal with Protons*

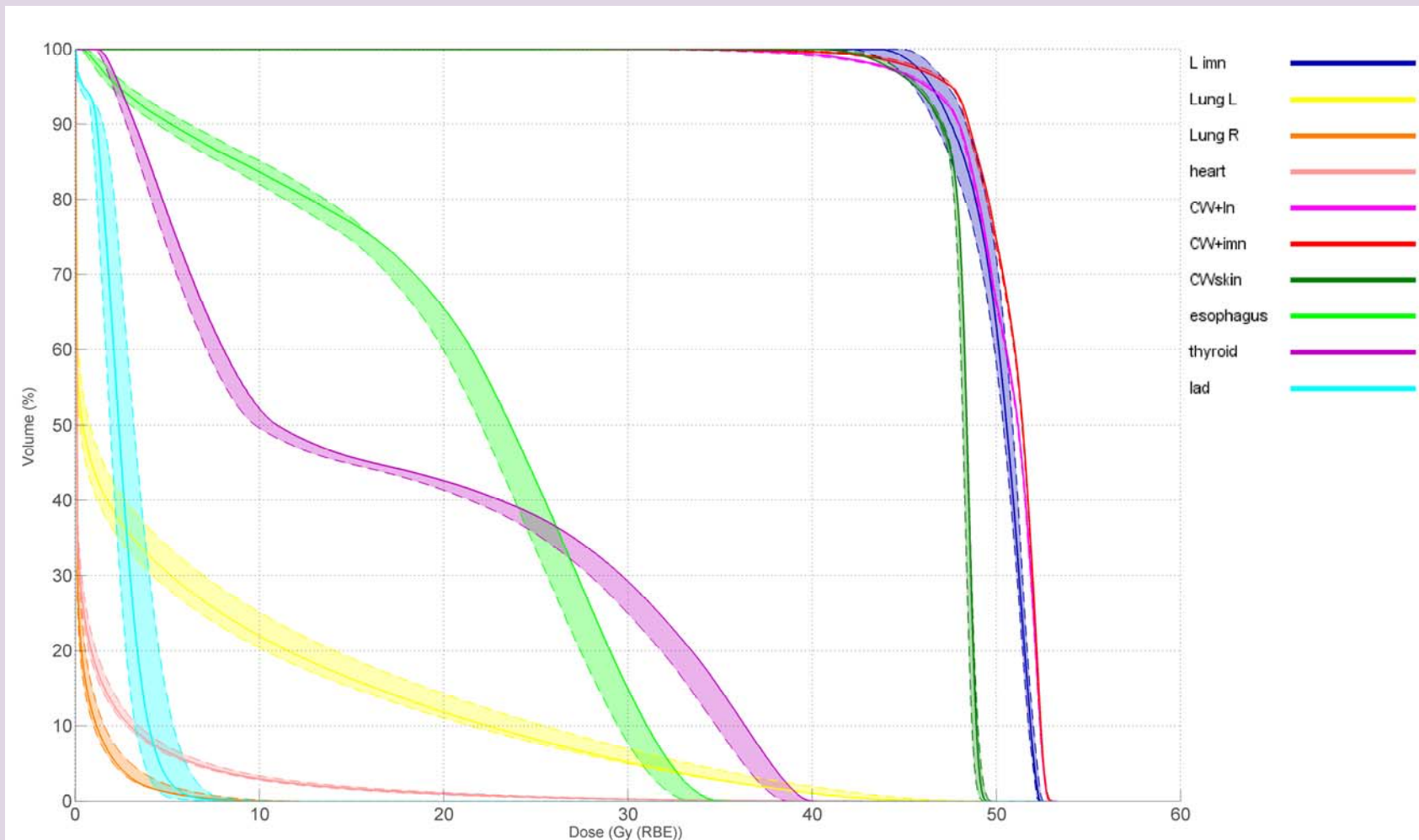


- AP motion  $\sim 3$  mm
- Beam at 30 degree from vertical
- Motion along beam has no effect on dose distribution
- Targeting error (motion perpendicular to beam)  $\sim 1.5$  mm

*Slide Courtesy of Hsaio-Ming Lu*



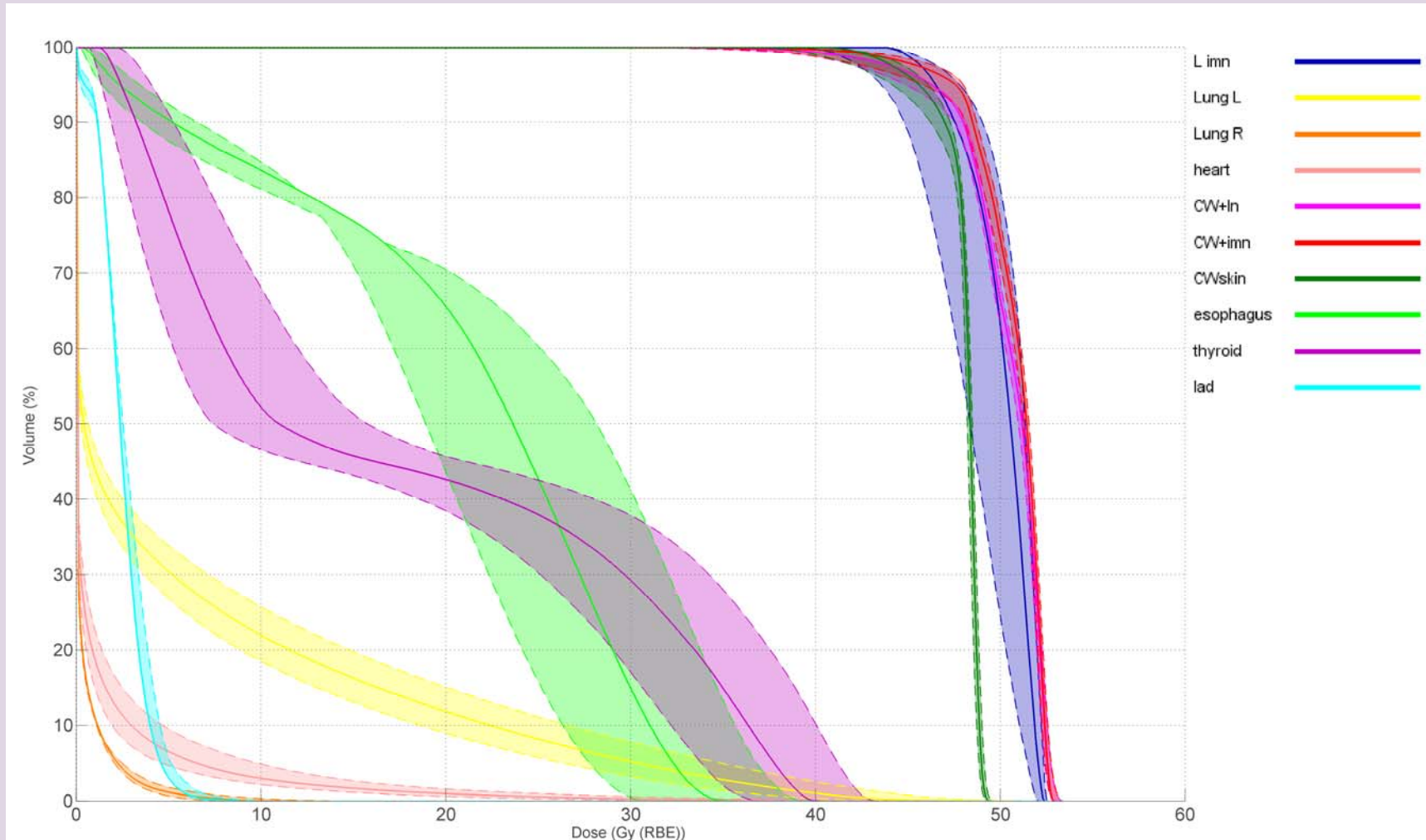
# Respiratory Motion



10 phases from 4D CT; solid line planned treatment

Courtesy of Nick Depauw

# Robustness

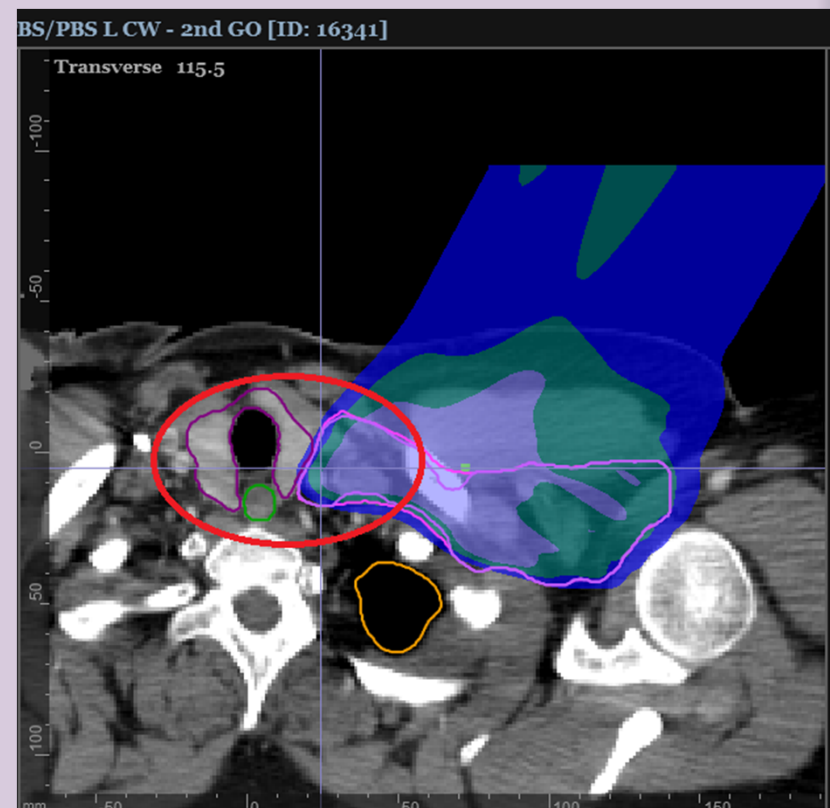
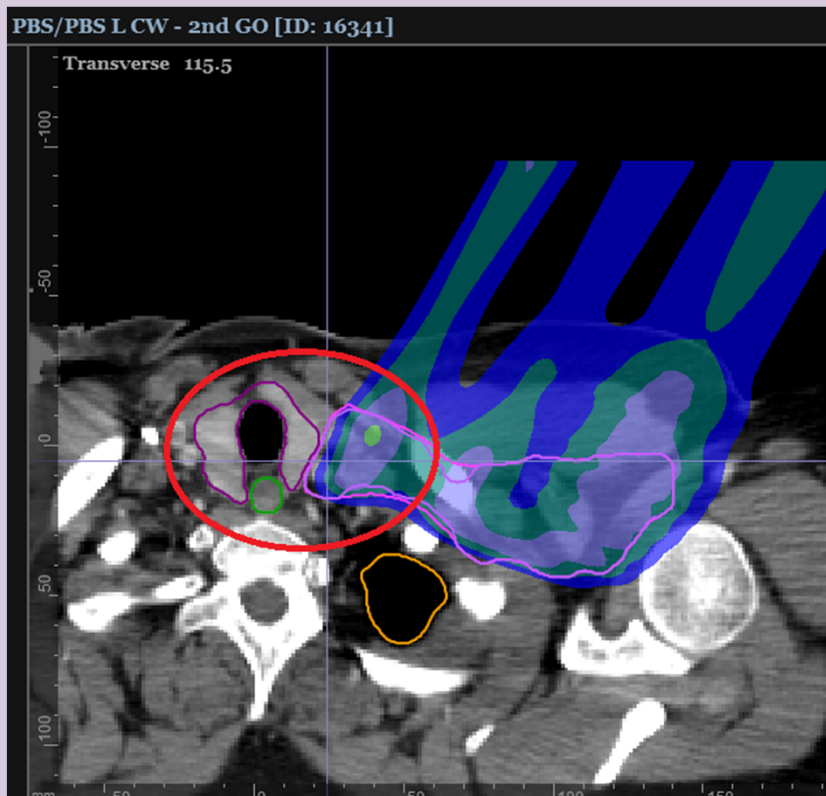
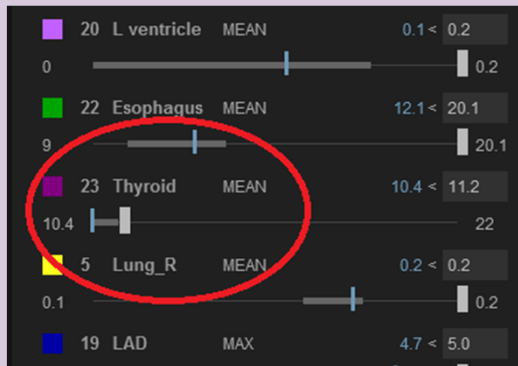


+/- 3 mm & +/- 2° ; solid line planned treatment

Courtesy of Nick Depauw

# Favor Scanning

More Efficient, No Match, Skin Dose  
& Ability for Adjustments



**FUTURE**

# PCORI Breast RTC

Justin Bekelman PI; Shannon MacDonald and Oren Cahlon Co-PI

Locally Advanced Breast Cancer (Stage IIA-IIIC) Requiring breast/chest wall and regional nodal RT

## STRATIFICATION

(by cardiac risk factors; Age)

## RANDOMIZATION

### Arm 1

Photon RT

### Arm 2

Proton RT

Target accrual **1716**

## Specific Aims

- Assess effectiveness protons v photons in reducing major cardiovascular events
- Assess non-inferiority of protons v photons LRR
- Assess effectiveness in improving HRQOL
- Develop predictive models to examine association of RT dose and MCE

# Conclusions

- Protons may be of benefit for patients with LABC & early breast cancer
- Dosimetric comparisons suggest superior plans with protons, further improved with PBS with ability to spare skin
- Delivery is feasible, acute toxicity acceptable, but long-term follow up is needed

# *Thank you*



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- Hanne Kooy, PhD
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